



大金重工可持续供应链管理政策 Dajin Heavy Industry Policy Statement on Sustainable Supply Chain Management

文件编号：DJ-G-ZL-A24-04 版本号 A

Document Number: DJ-G-ZL-A24-04 Version A



大金重工可持续供应链管理政策
Dajin Heavy Industry Policy Statement on Sustainable
Supply Chain Management

	姓名 Name	签字 Signature	日期 Date
编制 Compiled by	毛安源		2024.08.09
审核 Audited by	王晓彬		2024.08.09
批准 Approved by	庞慧茹		2024.08.12

更改记录 Records of Update		
版本 Version	修订内容 Amendments	日期 Date



大金重工可持续供应链管理政策 Dajin Heavy Industry Policy Statement on Sustainable Supply Chain Management

大金重工股份有限公司致力于打造可持续供应链，并将自身可持续发展的要求扩展至业务合作伙伴，分享传播可持续行为，逐步建立统一的可持续供应链发展价值观。为此，本公司制定可持续供应链管理政策，以规范供应链各个环节，使之符合公司可持续发展需要。该政策适用于大金重工股份有限公司及其所有下属公司（蓬莱大金海洋重工有限公司、张家口大金风电装备有限公司、阳江大金风电海洋工程科技有限公司、兴安盟大金重工有限公司、盘锦大金海洋工程有限公司）。

Dajin Heavy Industry Co., Ltd. is committed to building a sustainable supply chain and expanding its sustainable development requirements to business partners, sharing and disseminating sustainable behaviour, and gradually establishing a unified sustainable supply chain development value system. Therefore, the Company has developed the Sustainable Supply Chain Management Policy to standardize all aspects of the supply chain and make it meet the Company's sustainable development needs. The policy applies to Dajin Heavy Industries Co., Ltd. and all its subsidiaries (Penglai Dajin Offshore Heavy Industry Co., Ltd., Zhangjiakou Dajin Wind Power Equipment Co., Ltd., Yangjiang Dajin Wind Power Offshore Engineering Technology Co., Ltd., Xing'anmeng Dajin Heavy Industry Co., Ltd. and Panjin Dajin Offshore Engineering Co., Ltd.)

1、供应商准入

1. SUPPLIER ACCESS

本公司供应商管理实行“准入制”，并按照物资重要程度、供货能力、信誉评价及供需关系等相关内容，对供应商实行分级管理。供应商准入必须具备以下基本条件：

The Company's supplier management system operates on a permit-to-entry basis. The Company further manages its suppliers through a classification, based on the critical importance of the supplies, supply and delivery capabilities, credit evaluations, and

supply-and-demand relations. The suppliers must fulfill the following requirements to be granted entry:

1) 具有法人资格；

1) Has a legal personality;

2) 具备国家要求必须取得的质量、安全、环保以及其他生产经营资格；

2) Has obtained relevant mandatory national qualifications on quality, safety, environment, along with other necessary requirements;

3) 具有良好的商业信誉和业绩，近三年经营活动中无违法记录；

3) Has a respectable commercial reputation and a strong track record regarding its performance, with no records of violations in its business operations in the past three years;

4) 具有完善的质量保证体系，近三年在国家 and 地方主管部门、行业质量监督抽查中无不合格情况。

4) Has a sound and functioning quality assurance system, with no failures in the quality inspections conducted by national and local authorities and the industry authorities in the past three years.

2、供应商审核

2. SUPPLIER AUDIT

1) 本公司使用尽职调查流程来评估选择供应商，定性与定量打分相结合的方式进行风险评价与准入评估。其中，具有原则性问题的供应商，如参与不正当竞争、行业垄断、腐败问题等供应商不予以采用；

1) The Company uses a due diligence process to evaluate and select suppliers, and conducts risk assessment and admission assessment through a combination of qualitative and quantitative scoring. Among them, suppliers violating the general principles, such as those involved in unfair competition, industrial monopoly, corruption, etc., will not be adopted;

2) 本公司重点评估供应商 ESG 方面的表现，尤其在环境治理效益、员工权益保障、工作环境以及管理架构方面，考察企业管理的相关政策与治理能力。在同等条件下，本公司将优先选择 ESG 管理和表现优异的供应商进行合作；

2) The Company focuses on evaluating the performance of suppliers in ESG, especially in terms of environmental governance benefits, employee rights protection, work environment, and management structure, and examines the relevant policies and governance capabilities of enterprise management. Under the same conditions, the Company will prioritize selecting suppliers with excellent ESG management and performance for cooperation;

3) 调查结果接受其他部门检验、投诉；

3) The evaluation results are subjected to inspection and complaints from other departments.

4) 本公司供应商审核内容包括但不限于社会责任、体系文件、原材料与供应商、仪器设备、人力资源、过程控制、品质管理（NDT）、储存与运输、HSE 绩效监控、客户反馈、成本与财务等。

4) The Company's supplier audit covers various aspects including but not limited to social responsibility, system documentation, raw materials and suppliers, equipment and instruments, human resources, process control, Non-destructive Testing (NDT), storage and transportation, HSE (Health, Safety, and Environment) performance monitoring, customer feedback, costs, and financial status.

3、供应商管理

3. SUPPLIER MANAGEMENT

1) 每年由采购单位或聘请第三方机构依据本公司《供应商行为准则》对关键供应商进行可持续性风险评估，对于存在较高可持续性风险（如存在潜在劳工问题、高耗能、存在廉洁问题等情况）的供应商，公司应制定整改和提升计划，并关注其后续整改和提升效果，对于未能整改到位的供应商，本公司保留提前

暂停和/或撤消业务关系的权利；

1) Every year, the entity responsible for procurement operating or a third-party agency hired by the Company shall conduct sustainability risk assessments on key suppliers in accordance with the Company's "Supplier Code of Conduct". For suppliers with high sustainability risks (such as potential labor issues, high energy consumption, integrity issues, etc.), the Company shall develop rectification and improvement plans, and pay attention to their subsequent rectification and improvement actions. For suppliers who fail to rectify in place, the Company reserves the right to suspend and/or revoke business relationships in advance;

2) 为更好地提升供应商的可持续发展表现，公司不定期组织供应商进行可持续发展培训，如最新政策、意识提升活动等，并应尽可能覆盖全体关键供应商。

2) In order to better enhance the sustainable development performance of suppliers, the Company irregularly organizes sustainable development training for suppliers, such as the latest policies and awareness raising activities, and such activities should cover all key suppliers as many as possible.

4、采购人员培训

4. PROCUREMENT PERSONNEL TRAINING

本公司定期对采购人员开展供应链 ESG 议题的培训，包括对于公司可持续供应链政策的宣贯以及可持续采购的具体执行流程，提升公司采购人员的可持续供应链意识。

The Company regularly conducts training on ESG issues specifically related to the supply chain for procurement personnel, including the promotion and implementation of the Company's Sustainable Supply Chain Management Policy and the specific implementation process of sustainable procurement, to enhance the Company's procurement personnel's awareness of sustainable supply chain.



大金重工可持续供应链管理政策
**Dajin Heavy Industry Policy Statement on Sustainable
Supply Chain Management**

(The English translation of the Policy Statement is for reference only and the Chinese version shall prevail in case of any inconsistency between the Chinese version and English translation thereof)